

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES**

In re Application of : Customer Number: 46320  
: Confirmation Number: 1392  
David KAMINSKY et al. : Group Art Unit: 2456  
: Examiner: T. Najee-Ullah  
Application No.: 10/635,587 :  
Filed: August 6, 2003 :  
For: AUTONOMIC ASSISTANCE FOR POLICY GENERATION

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

Mail Stop Appeal Brief - Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following remarks are submitted in response to the Notification of Non-Compliant Amendment dated May 27, 2009 (hereinafter the Notice).

**REMARKS**

Appellant has attached hereto a replacement Summary of Claimed Subject Matter.

To the extent necessary, a petition for an extension of time under 37 C.F.R. § 1.136 is hereby made. Please charge any shortage in fees due under 37 C.F.R. §§ 1.17, 41.20, and in

connection with the filing of this paper, including extension of time fees, to Deposit Account 09-0461, and please credit any excess fees to such deposit account.

Date: June 8, 2009

Respectfully submitted,

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## **V. SUMMARY OF CLAIMED SUBJECT MATTER**

Referring to Figure 1 and also to independent claim 1, a system for autonomically assisting in the creation of an administrative policy is disclosed. A systems administration component 115 is coupled to a system under study 110 (lines 3-4 of paragraph [0020]). A workflow component 135 is configured for communicative linkage to a plurality of policy makers 140A-140N, and the workflow component 135 is configured for routing stimuli and response data 130 from the system under study 110 to a selected one of the policy makers 140A-140N (lines 4-6 of paragraph [0021]). A policy generation component 125 is coupled to the workflow component 135 and is configured to generate an administrative policy 145 for administering the system under study 110 based upon data collected from the selected one of the policy makers 140A-140N for the stimuli and response data 130 (paragraph [0022]).

Referring to Figures 2A, 2B and also to independent claim 3, a method for autonomically assisting in the creation of an administrative policy is disclosed. In block 210, a stimuli in a system under study is detected, and in block 220, a response by a systems administrator to the stimuli is monitored (lines 2-4 of paragraph [0023]). In block 240, 260, the stimuli and the response are forwarded to a policy maker suited to analyze the stimuli and the response (lines 6-8 of paragraph [0023]; lines 3-4 of paragraph [0024]). In block 280A, the policy maker is queried for a preferred response to the stimuli (lines 1-6 of paragraph [0025]). In block 290, a policy for responding to the stimuli is formulated based upon the preferred response (lines 6-8 of paragraph [0025]).

Referring to Figures 2A, 2B and also to independent claim 12, a machine readable

storage having stored thereon a computer program for autonomically assisting in the creation of an administrative policy is disclosed. The computer program comprises a routine set of instructions for causing the machine to perform the following steps. In block 210, a stimuli in a system under study is detected, and in block 220, a response by a systems administrator to the stimuli is monitored (lines 2-4 of paragraph [0023]). In block 240, 260, the stimuli and the response are forwarded to a policy maker suited to analyze the stimuli and the response (lines 6-8 of paragraph [0023]; lines 3-4 of paragraph [0024]). In block 280A, the policy maker is queried for a preferred response to the stimuli (lines 1-6 of paragraph [0025]). In block 290, a policy for responding to the stimuli is formulated based upon the preferred response (lines 6-8 of paragraph [0025]).